

The Practice Guide

The Practice Guide: Medical Professionalism and College Policies, introduced in 2007, articulates our profession's existing values – compassion, service, altruism, and trustworthiness – which provide the foundation for the practice of medicine.

But the practice guide is much more than a blanket statement of positive values. It will assist you in determining your specific duties and explains the reasons for those duties. And because College policies are built right into the framework, it becomes clear how policies are grounded in the values and principles of practice. The format of the guide allows the values, principles and policies to flow into each other, providing both relevance and resonance.

Medical professionalism is the translation of these values into practice and underpins the social contract between the medical profession and the public: in return for the privilege of self-regulation, the profession makes a commitment to promote the public good.

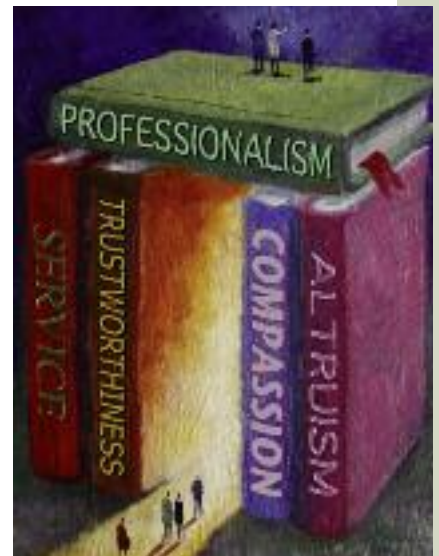
The social contract is a covenant of the profession as a whole. Individual physicians are not expected to assume responsibility for society at large but to uphold the social contract through their commitment to their profession, their medical practice, and their patients.

Translating these values and principles into practice isn't always easy. But it is achievable. In fact, these values are exhibited daily in physicians' offices and hospitals across Ontario, reflecting the profession's longstanding tradition of excellence.

What the guide does not do is describe specific standards for practice, create legal obligations or provide guidance for every situation that may arise in the practice of medicine. For specific situations that are not covered here, you should rely on the values and principles to guide your practice, and do not hesitate to seek advice.

The guide's continued relevance demands that it reflect an evolving environment. So while values and principles are not likely to change, it is possible that specific duties and policies will.

To remain responsive, the College welcomes your feedback on the guide and the policies it frames. Key sections from the practice guide follow, and the complete guide and all policies and publications are available on-line at www.cpso.on.ca under Policies and Publications.



VALUES OF THE PROFESSION

Compassion

Individual doctors serve their patients by assessing, diagnosing and treating patients, and through rehabilitation and habilitation, palliation, health promotion, and disease prevention. However, medicine is more than procedures and physicians are more than purveyors of technology. Compassion is fundamental to the relationship between the patient and the doctor. Compassion is defined as a deep awareness of the suffering of another coupled with the wish to relieve it.

Service

Service means working for the benefit of another. Doctors in Ontario are dedicated to serving their patients.

To serve their patients, physicians must be competent in the medical areas in which they practice. Competence requires the application of current knowledge with requisite skill and judgment needed to meet the patient's medical needs. In this, physicians should strive for excellence.

Service is not only competence; it is also putting the patient first. A physician has professional responsibility to their patients, individually and collectively; their patients' families; their own practice; and the health care system. However, at any given time a physician's primary responsibility is to the individual patient before them.

Physicians, as a profession, also have a collective responsibility to the public, which is demonstrated by collaborating with and supporting colleagues and other health professionals, and participating in self-regulation in the public interest. The profession has a critical responsibility to the public as a whole via its responsibility to regulate. Just as doctors serve patients, the College, as the representative of the profession in self-regulation, has the ethical and statutory responsibility to serve the public by regulating physicians in the public interest.

Altruism

Altruism, as a principle of action, is the highest commitment to service. Altruism in medicine is defined as practising unselfishly and with a regard for others.

Patients' needs are paramount and must be considered before the individual physician's needs, the needs of physicians as a group, or the public as a whole. This is not to say that physicians must sacrifice their health or other important aspects of their life for their patients. Rather, it means that when providing care to a patient, a physician should always put that patient first.

Trustworthiness

Trustworthiness is the cornerstone of the practice of medicine. It is the demonstration of compassion, service and altruism that earns the medical profession the trust of the public. This trust manifests itself in the social contract between the profession and the public, as well as the relationship an individual patient has with his or her doctor.

Maintaining trust is an important aspect of medical professionalism. Patients must be able to trust that the physician will always uphold the values of the profession; in the absence of the trusting relationship the physician cannot help the patient and the patient cannot benefit from the relationship.

Overarching principles of practice flow from the values articulated above. The principles of practice, in turn, ground the specific duties of the individual physician.

Physicians accepted into practice in Ontario meet a standard of excellence in education and performance. Patients trust their physicians to be clinically competent in all areas of their practice. However, competence is more than just clinical skills and knowledge; it is also practising safely and effectively. Safe and effective care is achieved when physicians know about and abide by their professional obligations, and are competent as communicators, collaborators, advocates, and managers. It is expected that throughout a physician's career he or she will maintain his or her competence to ensure that patients receive the best care possible.

The principles of practice listed below encompass these competencies. Duties reflect the profession's values and demonstrate the principles of practice in action.

A. INDIVIDUALLY TO THE PATIENT

Principles of Practice

The doctor-patient relationship is the foundation of the practice of medicine. It reflects the values of compassion, service, altruism, and trustworthiness. Trustworthiness is the cornerstone of the doctor-patient relationship; without trust a good doctor-patient relationship cannot exist.

Physicians have a fiduciary duty to their patients — because the balance of knowledge and information favours the physician, patients are reliant on their physicians and may be vulnerable. The patient must always be confident that the physician has put the needs of the patient first. This principle should inform all aspects of the physician's practice.

Physicians are expected to make their patient's needs the first priority, but accomplishing this requires a broader focus than the direct physician-patient relationship. In order to meet individual patient needs, physicians should consider their contributions to their individual patients, but also to their own practice, the community, and the health care system. Physicians hold a respected position in society and, in return, they have responsibilities. Physicians should never forget that their primary responsibility is to the patient(s) standing before them, either individually or collectively.

Duties

1. Demonstrating Professional Competence

Physicians should be skilled clinicians committed to the values of the profession.

Physicians should be committed to lifelong learning and be responsible for maintaining the medical knowledge and clinical skills necessary to provide the highest possible quality of care to patients.

At all times physicians should:

- be aware of deficiencies in knowledge or ability;
- obtain help when needed; and
- ensure that their practice matches their level of competence.

In terms of individual patient care, physicians should provide medical care based on objective evidence whenever possible. This includes demonstrating a sense of inquiry and taking a scientific approach to solving clinical issues for the benefit of the patient.

2. Maintaining Confidentiality

An important component of trust is the honest and compassionate communication of information in complete confidence.

Receiving and giving sensitive patient information is essential to the physician's ability to provide quality care to the patient. Patients give information to physicians in a unique context where they have the utmost faith that the physician will maintain patient privacy and confidentiality.

Physicians must safeguard patient information. Occasionally, however, their responsibility to the public outweighs their responsibility to an individual patient, necessitating reporting to another party.

3. Collaborating with Patients and Others

Providing the best quality care for the people of Ontario requires physicians to work together effectively — with patients, other doctors and other health professionals — within the organizations, institutions and systems for health care delivery in Ontario.

Collaboration with an individual patient is essential to providing good medical care. The physician must work with the patient in order to understand the patient's health care needs, to formulate treatment plans that are optimal for the patient, to ensure that the patient remains informed about his or her care, and to address patient questions and concerns. To maximize the effectiveness of collaboration, physicians must have patients' trust, which is maintained, in part, through effective communication and treating patients with respect.

Collaboration is not only about getting along and treating others with respect — although this is extremely important — it is also about recognizing and accepting the unique roles and contributions of other health professionals. The best interests of patients are served when physicians utilize the skills of others, whether they are physicians or other health professionals.

Good quality health care is often delivered by a team of professionals and individuals who contribute expertise in a variety of ways. To achieve the goal of providing the best possible health care to patients, physicians should also make a commitment to those others who share this goal. Physicians should work respectfully and collaboratively with other members of the health care team to maximize the quality of patients' care.

4. Communicating with Patients and Others

Good communication is a fundamental component of a trusting doctor-patient relationship. Communications with patients, their families, colleagues, and other health care professionals should always reflect civility and professionalism.

Physicians should ensure that patients are appropriately informed about their medical care. All communication with patients should recognize an individual patient's autonomy and demonstrate a collaborative approach to patient decision-making.

Physicians should demonstrate cultural sensitivity in their communication with patients and families.

Physicians should demonstrate an awareness of their own values and how their values relate to or differ from those of their patients and families.

While communicating with compassion and engendering the trust of patients are vital to the doctor-patient relationship, physicians should also be aware of boundary issues and the potential for transference in the doctor-patient relationship.

Should conflict arise, either between the physician and patient, or the patient's family, the physician should work with the patient, the patient's family (if the patient consents) and any other supports to resolve the conflict respectfully. However, if the patient wishes to limit the information available to family or even other health care professionals who are also providing care, the physician must respect that decision.

In communications with the community at large, physicians must ensure that representations they make are, to the best of their knowledge, truthful.

Physicians should participate in educating patients and colleagues to ensure that medical knowledge is appropriately conveyed to facilitate health promotion and disease prevention.

5. Managing Conflicts of Interest

A physician must always act in the patient's best interests.

A physician's interests should not be in conflict with the patient's. Any conflicts of interest must be properly managed so as not to compromise the patient's best interests, or be avoided.

Physicians should guard against compromising their duty to their patients by pursuing personal advantage, whether financial or otherwise, at the expense of the patient. Physicians, like any other member of society, are entitled to earn an income and be paid appropriately for their services to patients. However, in all situations where a conflict of interest arises in the course of professional duties and activities, physicians should recognize the conflict, ensure that the patient's best interests remain paramount and, where appropriate, disclose the conflict of interest to the patient.

Physicians should also be aware of the possibility of damage to the reputation of the profession by the appearance of a conflict, even though an actual conflict may not exist, and avoid creating such a perception.

6. Advocating for Patients

Advocacy is an important component of the doctor-patient relationship; physicians should, individually and collectively, advocate for their patients. Advocacy involves the responsible use of expertise and influence to advance patients' health care interests.

Individuals: The health care system is a complex network of care providers, services and benefits. To ensure that patients receive fair and efficient treatment by others involved in their care, physicians should use their knowledge of the system to assist their patients in successfully navigating this network.

Communities and Populations: Physicians have a responsibility to advocate on behalf of their patients to advance policies that promote the health and well-being of the public.

B. AS A MEMBER OF THE PROFESSION, COLLECTIVELY TO THE PUBLIC

Principles of Practice

That the values of compassion, service, altruism, and trustworthiness apply to the individual doctor-patient relationship is clear. Physicians have responsibilities to patients which, as noted earlier, are paramount. However, these values are also reflected in the individual physician's responsibility to the profession of medicine, inasmuch as the medical profession works together to serve the public interest.

Duties

1. Participating in Self-Regulation

Physicians have been granted the privilege of self-regulation. Society allows physicians to regulate themselves in return for the covenant that this regulation will occur in the public interest. The social contract between the public and the profession places certain responsibilities on the physician as an individual, with respect to his or her colleagues and with respect to collective involvement for the best interests of patients and the community. Meeting these responsibilities requires efficient and appropriate governance and a reliable system of accountability. It is not enough for physicians to accept regulation. To ensure the continuity of self-regulation, each physician should, along with the College, participate in the self-regulatory process.

The goal of regulation is to serve the public by ensuring the best quality care for patients in Ontario. Both the College and individual physicians have a responsibility to ensure quality care by continually improving skills and behaviour as well as responding to concerns around practice/behaviour.

The responsibility for maintaining medical professionalism lies with physicians themselves. Acting in concert with his or her peers, each physician contributes to defining the expectations or standards of the profession as a whole. Individually, each physician upholds those standards in his or her own actions. Fulfillment of this duty is essential to self-regulation.

2. Reporting

Physicians must be aware of their reporting obligations and be truthful and forthright in their reports, whether in the context of patient charting, recording of research results, or providing expert information to third parties (i.e., the court, WSIB, insurance companies).

Physicians have a legal and professional duty to keep information about their patients private and confidential. However, under certain circumstances, physicians are required by law, or expected by the College, to report particular events or patient conditions to the appropriate government or regulatory agency. These are 'mandatory reports', and are an acceptable breach of patient privacy and confidentiality for a greater societal good.

3. Educating

Physicians should teach and learn. The profession, and its service to patients, can only be improved by taking a collaborative approach, participating in peer reviews, supporting each other, educating and mentoring each other, and participating in formal education — both within and outside of the profession.

When they are involved in teaching others, physicians should provide instruction in the context of the values set out above.

By teaching others — colleagues, students, other health professionals, their patients, and the community — physicians help ensure that high quality care will be provided to their individual patients and the public in general.

4. Learning

Physicians have a duty to seek out new evidence and knowledge, to share this knowledge with others and to apply it in practice.

Physicians are expected to keep abreast of current developments in their field, which includes maintaining an awareness of relevant practice guidelines and implementing them as appropriate. All research must be initiated and pursued in an ethical manner.

5. Advocating for a Safe Health Care System

A health care system that balances safety, caring and effectiveness is the best way to ensure patients receive high quality care. To improve the quality of care that the system provides, physicians should work collaboratively with other professionals to reduce the incidence of medical error and adverse outcomes.

Physicians should also collaborate with others for the effective management of health care resources.

6. Collaborating with Other Health Care Professionals

In addition to an individual physician's responsibility to collaborate with other members of a health care team in providing care to individual patients, physicians as a group have a responsibility to collaborate with other health care professionals in order to serve Ontario patients.

This kind of collaborative interaction between physicians and others includes the exchange of information; developing collaborative guidelines; fostering positive relationships at the institutional level; sharing decision-making, where appropriate and in the patient's best interest; and developing policies that ensure quality of care.

C. TO THEMSELVES AND COLLEAGUES

Principles of Practice

The practice of medicine is challenging. Physicians are expected by the profession and the public to meet high standards for excellence in the care they provide to patients. In addition, physicians often face competing demands — from patients, other health care professionals, the health care system, and from the expectations the physician holds for him or herself. These factors can give rise to stress, fatigue, exhaustion and frustration, which can have an impact on both the physician personally and the care the physician is able to provide to his or her patients.

Physicians, as a group, should provide mentorship, support and care to one another, in order to ensure their patients receive quality care, as well as to maintain their own personal wellness.

Duties

1. Mentorship

Physicians should be prepared to provide to colleagues, and accept from colleagues, both formal and informal mentorship. Mentorship involves the sharing of knowledge, experience and ideals, and allows physicians the opportunity to obtain advice and support in their various physician roles. As mentors, physicians should lead by example.

Mentorship is also an informal mechanism for maintaining the high expectations and standards of the profession.

2. Wellness

Physician wellness is a critical component of the professional practice of medicine. Wellness is defined as the condition of good physical and mental health necessary to provide high quality care to patients and to fulfill the duties noted above.

Because physicians cannot serve their own patients if they are not well, physicians may have to put their own needs for wellness ahead of the needs of individual patients or the public as a whole in some circumstances.

Physician wellness is also important for its own sake, independent of any responsibility to others.

Physicians should only care for patients when they are well enough to do so. In order to ensure that patients receive high quality care, physicians have a responsibility to:

- be aware of their own health, which includes being able to recognize when they are not well enough to provide competent care;
- obtain help, if necessary, from colleagues, their own physician, or other supports, in order to ensure their own wellness;
- adjust their practice, as necessary, to ensure that patients can and do receive appropriate care.

The best interests of patients are served when physicians take time to meet their own needs and are continually aware of their own wellness. This means recognizing limits imposed by fatigue, stress or illness and taking care to ensure a healthy work-life balance. This is not always easy. Physicians set high expectations for themselves and may not immediately recognize either transient or longer term periods of incapacity. Recognition of transient incapacity is particularly difficult.

In leading by example for patients and colleagues, physicians should avoid self-treatment. Instead, physicians should try to establish a relationship as a patient with another physician they trust for care and should seek advice about their own care from that physician.

If a physician knows that he or she has a serious condition that could be passed on to patients, or that his or her judgment or performance could be significantly affected by a condition or illness, or its treatment, that physician should seek professional advice about ongoing clinical practice.

3. Collegiality

Collegiality is cooperative interaction between colleagues. The collegiality of relationships can affect the comprehensiveness and continuity of care patients receive, particularly through the referral and consultation processes. For this reason, physicians should be collegial in their dealings with one another. Mutual trust, respect, and knowledge of each other's expertise, skills and responsibilities are all important to establishing collegial relationships.

This is not to say that collegiality may be used to mask ineffective or inappropriate practice, or to protect incompetent or incapacitated physicians. Rather, physicians should accept and support meaningful peer evaluation as a mechanism for upholding the standards of the profession.

Collegiality also fosters cooperation as a profession. At times, it is as a unified voice that physicians can best advance their patients' interests. Physicians should support each other not only individually, but should also, collectively, support the profession in working for the public interest.

Physicians should enter into professional associations and collaborations only if, in doing so, they can maintain professional integrity and safeguard the interests of their patients.

MANAGING CONFLICTING DUTIES

Conflict among the duties outlined in the guide is inevitable. When conflict arises, you should first refer to the fundamental values that ground the principles and duties that follow. For example, if there is a conflict between a physician's obligation to a patient and the obligation to the system (e.g., efficiency), the profession's commitment to the value of altruism makes it clear that the patient should always come first.

Conflicts will not always involve a clear choice between values, instead requiring a balancing of duties and values to determine the best way to proceed. Consultation with colleagues, the College and/or the CMPA or other insurance provider is often the best way to work through these issues.

To remain responsive, the College welcomes your feedback on the guide and the policies it frames, all of which are available on-line at www.cpsso.on.ca.